

JC02 Rec'd PCT/PTC 31 MAY 2005 PCF

Patent
cket No.: P01072US2A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Antkowiak et al.

Title: "Preparation of Functionalized Anionic Polymerization Initiators"

Serial No.: 10/520,989

Group Art Unit:

Filed: 01/10/2005

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003 Notice waiving the requirements of 37 CFR § 1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

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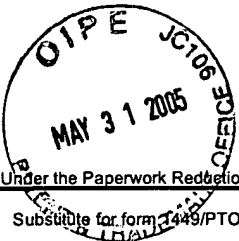
Signed Mellie Persin

Respectfully submitted by:

May 27, 2005
Date

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	10/520,989
Filing Date	01/10/2005
First Named Inventor	ANTIKOWIAK et al.
Art Unit	
Examiner Name	
Attorney Docket Number	P01072US2A

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,326,881	06-20-1967	Uranek et al.	
		US- 5,496,940	03-05-1996	Lawson et al.	
		US- 5,523,364	06-04-1996	Engel et al.	
		US- 5,527,753	06-18-1996	Engel et al.	
		US- 5,550,203	08-27-1996	Engel et al.	
		US- 5,574,109	11-12-1996	Lawson et al.	
		US- 5,786,441	07-28-1998	Lawson et al.	
		US- 5,932,662	08-03-1999	Lawson et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP-0,693,505-A1	01-24-1996	Hall et al.		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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